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**United Nations Development Programme**  
**The Vietnam Provincial Governance and Public Administration Performance Index (PAPI)**  
**Project Annual Work Plan and Budget for 2020**  
 Award: 00095984/Project ID: 00100002 - **[REVISION #1]**

**Country: Viet Nam**

|                             |  |
|-----------------------------|--|
| <b>One Plan Outcome</b>     | <p>The One Strategic Plan 2017-2021 represents the programmatic and operational framework for delivering United Nations (UN) support to the Government over the next five years and sets out how the UN will deliver as one in support of national development priorities. The Plan is aligned with the Socio-Economic Development Strategy 2011-2020, the Socio-Economic Development Plan 2016-2020, the Sustainable Development Goals (SDGs) and Viet Nam’s international human rights commitments. The PAPI Project supports Output 4.1 under the Focus Area 4 ‘Promoting Justice, Peace and Inclusive Governance’ in addition to other relevant outputs:</p> <p><b>- Outcome 4.1: Participatory decision-making and responsive institutions</b><br/>       By 2021, participatory and transparent decision-making processes and accountable institutions are strengthened, with policies and implementation mechanisms that are responsive to all people, particularly vulnerable groups, women, youth and children.</p> |
| <b>SDGs</b>                 | <p>The PAPI Project supports Viet Nam in gauging the country’s progress in implementation of the Sustainable Development Goals (SDGs) of the 2030 Agenda for Sustainable Development. In particular, PAPI provides data and information to measure the following SDG 16 indicators:</p> <p><b>Goal 16: Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels</b></p> <ul style="list-style-type: none"> <li>- 16.1 Significantly reduce all forms of violence and related death rates everywhere</li> <li>- 16.5 Substantially reduce corruption and bribery in all their forms</li> <li>- 16.6 Develop effective, accountable and transparent institutions at all levels</li> <li>- 16.7 Ensure responsive, inclusive, participatory and representative decision-making at all levels</li> </ul>  |
| <b>Implementing Partner</b> | UNDP   |
| <b>Responsible Parties</b>  | <p>UNDP, in association with PAPI Consortium (the Centre for Community Support and Development Studies and the Centre for Research and Training of the Vietnam Fatherland Front); Ho Chi Minh National Academy of Politics; the Real-Time Analytics; relevant government agencies; national and international research institutions; international and national experts.</p>   |

### Project Summary

The Viet Nam Provincial Governance and Public Administration Performance Index (PAPI) is a policy monitoring tool that reflects citizens' experiences with governance, public administration, and public service delivery in Viet Nam. PAPI aims to generate information that can improve the performance of local authorities in meeting their citizens' needs by: (i) creating constructive competition and promoting learning among local authorities; and (ii) enabling citizens to benchmark their local government's performance and advocate for improvement.

The approach PAPI takes on is to engage citizens in monitoring and evaluation of the performance of different state stakeholders in ensuring good governance and serving citizens through public services. In PAPI, citizens are placed at the heart of Viet Nam's development. As 'end-users' of public administration and public services they are fully capable of assessing the performance of the State and local authorities, and supporting Viet Nam in establishing a State that is "of the people, by the people and for the people" by its Constitution.

PAPI serves as a mirror to reflect the performance of 63 provincial governments in areas of citizen concerns and where citizens and local governments have the most direct interactions. PAPI helps motivate public officials, civil servants, and public employees at different levels and in different sectors to perform better in citizen engagement in governance activities, public sector management, and public service delivery. The index ultimately contributes to Viet Nam's endeavour to develop a civil service system that serves citizens, acts with integrity, and fosters national development. PAPI also provides evidence for officials, media, and civil society to advocate actionable measures that respond to citizens' needs.

To achieve these results the project will conduct national surveys on citizens' experience with governance and public administration performance, convene policy dialogues with central state, government and parliamentary agencies as well as provincial authorities, promote policy reforms, advocate for good practices from local governments, and enhance the effectiveness and efficiency of the state apparatus for the benefits of citizens.

Total project budget for three years from January 2018 to December 2021 is **3,200,000 USD**

**Programme Period (One Plan): 2017-2021**

**Project title: *The Vietnam Provincial Governance and Public Administration Performance Index***  
**Project number: 00095984**  
**Project duration: 1 January 2018 – 31 Dec 2021**

**2020 annual budget: 1,076,649 USD**

- **DFAT: 931,638 USD**
- **Irish Aid: 110,011 USD**
- **TRAC: 35,000 USD**

Agreed by



Date: 24 SEPTEMBER 2020

SITARA SYED, DEPUTY RESIDENT REPRESENTATIVE

## Annual Work Plan for 2020

| EXPECTED OUTPUTS  | PLANNED ACTIVITIES  | TIMEFRAME |    |    |    | Implementation Counterparts       | PLANNED BUDGET  |                    |                          |
|---|---|-----------|----|----|----|-----------------------------------|-----------------|--------------------|--------------------------|
|   |   | Q1        | Q2 | Q3 | Q4 |                                   | Source of Funds | Budget Description | Amount (USD)             |
| and indicators including annual targets   | Activities to be undertaken during the year towards stated output   |           |    |    |    |                                   |                 |                    |                          |
| <b>OUTPUT 1. METHODOLOGY, FIELDWORK AND REPORT</b>  | <b>OUTPUT 1. METHODOLOGY, FIELDWORK AND REPORT</b>  |           |    |    |    | <b>CECODES-VFF-RTA &amp; UNDP</b> | <b>DFAT</b>     | <b>674,628</b>     |                          |
| <b>1.1. Refined methodology, indicators and survey for rolling out in 63 provinces</b>        | 1.1.1. To refine PAPI methodology, indicators and the questionnaire   |           | x  |    |    | CECODES-VFF-RTA & UNDP            | DFAT            | DFAT 2020          | [by actual RP Agreement] |
|   | 1.1.2. To consult refined PAPI methodology, indicators and the questionnaire with national and international experts for finalization |           | x  |    |    | CECODES-VFF-RTA & UNDP            | DFAT            | DFAT 2020          | [by actual RP Agreement] |
| <b>1.2. Fieldwork in 63 provinces completed with data for analysing and ranking provinces</b> | 1.2.1. To recruit and train enumerators and field controllers for fieldwork surveys   |           | x  |    |    | CECODES-VFF-RTA & UNDP            | DFAT            | DFAT 2020          | [by actual RP Agreement] |
|   | 1.2.2. To collect village-level population data for random sampling   |           | x  |    |    | CECODES-VFF-RTA & UNDP            | DFAT            | DFAT 2020          | [by actual RP Agreement] |
|   | 1.2.3. To roll out fieldwork surveys in all 63 provinces  |           |    | x  | x  | CECODES-VFF-RTA & UNDP            | DFAT            | DFAT 2020          | [by actual RP Agreement] |
| <b>1.3. Data cleaning and entry processed ready for data analysis</b>                         | 1.3.1. To enter collected and cleaned data into a single dataset  |           |    | x  | x  | CECODES-VFF-RTA & UNDP            | DFAT            | DFAT 2020          | [by actual RP Agreement] |
|   | 1.3.2. To support international experts in data analysis  | x         |    |    |    | CECODES-VFF-RTA & UNDP            | DFAT            | DFAT 2020          | [by actual RP Agreement] |

| EXPECTED OUTPUTS  | PLANNED ACTIVITIES   | TIMEFRAME |    |    |    | Implementation Counterparts     | PLANNED BUDGET   |   |              |
|---|--|-----------|----|----|----|---------------------------------|--|---|--------------|
|   |  | Q1        | Q2 | Q3 | Q4 |                                 | Source of Funds  | Budget Description  | Amount (USD) |
| and indicators including annual targets   | Activities to be undertaken during the year towards stated output  |           |    |    |    |                                 |  |   |              |
| <b>1.4. Completed report revealing performance statuses of all 63 provinces validated by key national and provincial stakeholders</b> | 1.4.1. To organise national validation workshop to report to and consult with national and provincial stakeholders (including policy makers and practitioners at the national and provincial levels) PAPI preliminary findings | x         | x  |    |    | CECODES-VFF-RTA & UNDP          | DFAT 2020  | [by actual RP Agreement]  |              |
|   | 1.4.2. To write up final PAPI reports ready for dissemination of results and continued policy dialogues  | x         | x  |    |    | UNDP & CECODES-VFF-RTA          | DFAT 2020  | [by actual RP Agreement]  |              |
|   | 1.4.3. To maintain and update PAPI website ( <a href="http://www.papi.org.vn">www.papi.org.vn</a> ) for consultation and dissemination   | x         | x  | x  |    | UNDP & CECODES-VFF-RTA          | DFAT 2020  | [by actual RP Agreement]  |              |
| <b>OUTPUT 2. ROBUST INTERNATIONAL EXPERTISE INCORPORATED INTO PAPI</b>  |  |           |    |    |    | <b>UNDP &amp; Int'l Experts</b> | <b>DFAT</b>  | <b>20,000</b>   |              |
| <b>2.1. International expertise on Statistics/Quantitative analysis for PAPI</b>  | 2.1.1. To contract out world-class international experts on statistical and quantitative analysis for technical support for PAPI construction and analysis   | x         | x  |    | x  | UNDP                            | DFAT 2020<br>(for additional international consultants' travels when needed) | 15,000<br><br>[Apart from POed contract amounts in 2017 for three years from 2018-2021] |              |
| <b>2.2. Quality control for PAPI</b>  | 2.2.1. To contract out proof-readers to review PAPI working papers presenting final results  |           | x  |    |    | UNDP                            | DFAT 2020  | 5,000   |              |

| EXPECTED OUTPUTS  | PLANNED ACTIVITIES  | TIMEFRAME  |    |    |    | Implementation Counterparts | PLANNED BUDGET       |                    |              |        |
|---|---|--|----|----|----|-----------------------------|----------------------|--------------------|--------------|--------|
|   |   | Q1   | Q2 | Q3 | Q4 |                             | Source of Funds      | Budget Description | Amount (USD) |        |
|   | Activities to be undertaken during the year towards stated output targets   |  |    |    |    |                             |                      |                    |              |        |
| <b>OUTPUT 3. POLICY DIALOGUES WITH PROVINCES OF PAPI DIMENSIONAL FINDINGS</b>   |   |  |    |    |    |                             |                      |                    |              |        |
| 3.1. Strengths and weaknesses in provincial performance by dimension discussed with provinces for annual PAPIs<br><br>3.2. Interested provinces informed and aware of their performance levels through in-depth discussions with PAPI research team | 3.1.1. In-depth analysis of dimensions of annual PAPI based on datasets   |  | x  |    | x  | UNDP & provinces            | DFAT                 | DFAT 2020          | 1,000        |        |
|   | 3.1.2. To develop policy brief papers analyzing provinces' performance (i.e. provincial profiles)                             |  |    | x  |    | UNDP & provinces            | DFAT                 | DFAT 2020          | 1,000        |        |
|   | 3.2.1. To print-out policy brief papers to present provinces' performance profiles based on PAPI annual findings              |  |    |    | x  | UNDP & provinces            | DFAT                 | DFAT 2020          | 2,000        |        |
|   | 3.2.2. Seminars/internal discussions with interested provinces on findings of their performance levels in specific dimensions |  |    |    |    | UNDP & provinces            | DFAT                 | DFAT 2020          | 6,000        |        |
|   | <b>OUTPUT 4. PAPI REPORTS DISSEMINATED AND OUTREACHED</b>   |  |    |    |    |                             |                      |                    |              |        |
|   | 4.1. PAPI reports published for   | 4.1.1. To review the Vietnamese version of PAPI Reports (peer reviews and proof-reading) |    | x  |    |                             | UNDP and Consultants | DFAT & IRISHAID    | DFAT 2020    | 24,000 |
|   |   |  |    |    |    | UNDP and Consultants        | DFAT                 | DFAT 2020          | 4,000        |        |

| EXPECTED OUTPUTS   | PLANNED ACTIVITIES  | TIMEFRAME |    |    |                           | Implementation Counterparts | PLANNED BUDGET  |                    |                  |
|--|---|-----------|----|----|---------------------------|-----------------------------|-----------------|--------------------|------------------|
|  |   | Q1        | Q2 | Q3 | Q4                        |                             | Source of Funds | Budget Description | Amount (USD)     |
| dissemination and further policy discussions   | Activities to be undertaken during the year towards stated output   |           |    |    |                           |                             |                 |                    |                  |
|  | 4.1.2. To print-out final PAPI reports in English and Vietnamese for outreach and dissemination and launching event |           | x  |    |                           | UNDP and Consultants        | DFAT 2020       | 10,000             |                  |
|  | 4.1.3. To develop and implement PAPI Communication Strategy and communication materials                             |           | x  |    |                           | UNDP and Consultants        | Irish Aid 2020  | 10,000             |                  |
| <b>OUTPUT 5. GOOD PRACTICES IDENTIFIED, ANALYSED AND ADVOCATED</b>   |   |           |    |    | <b>UNDP and Provinces</b> |                             |                 | <b>144,000</b>     |                  |
| 5.1. Good practices of good performing provinces shared  | 5.1.1. To conduct a study on COVID-19 impact on local governments' performance and citizens' livelihoods            |           |    | x  |                           | UNDP and Provinces          | DFAT 2020       | 26,000             |                  |
|  | 5.1.2. To support innovation for better performance at the provincial levels.                                       |           |    | x  |                           | UNDP and Provinces          | DFAT 2020       | 70,000             |                  |
|  | 5.1.3. 2016-2020 Women Representatives' Role in Vietnam's Development   |           |    | x  |                           | UNDP and Provinces          | DFAT 2020       | 48,000             |                  |
| Sub-total Output 1-5   |   |           |    |    |                           |                             |                 | <b>872,628</b>     |                  |
| DPC and Human Resources (Salary and associated costs, including desk, other staff costs, GEO) (Irish Aid and TRAC) |   |           |    |    |                           |                             |                 |                    |                  |
| Implementing Support Service (ISS) (Irish Aid)   |   |           |    |    |                           |                             |                 |                    |                  |
| Misc: audit, travel... (Irish Aid)   |   |           |    |    |                           |                             |                 |                    |                  |
| General Management Service (8%) (Irish Aid & DFAT)   |   |           |    |    |                           |                             |                 |                    |                  |
| <b>TOTAL BUDGET FOR PAPI RESEARCH FOR THE PERIOD FROM JAN - DEC 2020 (*)</b>                                       |   |           |    |    |                           |                             |                 |                    | <b>1,076,649</b> |